

Local Glutamate-Mediated Dendritic Plateau Potentials Change the State of the Cortical Pyramidal Neuron

Abbreviated title: *Glutamate-Mediated Dendritic and Somatic Plateaus*

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M.H., W.W.L., and S.D.A. designed the research; P.P.G., J.W.G., W.L.Z., J.J., S.A., and S.D.B. designed and performed experiments and simulations; P.P.G., J.W.G., and S.D.A. analyzed the data. The paper was written by P.P.G., M.H., W.W.L., and S.D.A., with input from all of the authors.

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The authors declare no competing financial interests.

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Supplemental data is comprised of seven figures with legends, and deposited at figshare.com (file name: Suppl Figs_v02.pdf). Both links (above) point to the same file.

41 **Abstract**

42 Dendritic spikes in thin dendritic branches (basal and oblique dendrites) are traditionally inferred
43 from spikelets measured in the cell body. Here, we used laser-spot voltage-sensitive dye
44 imaging in cortical pyramidal neurons (rat brain slices) to investigate the voltage waveforms of
45 dendritic potentials occurring in response to spatially-restricted glutamatergic inputs. Local
46 dendritic potentials lasted 200–500 ms and propagated to the cell body, where they caused
47 sustained 10-20 mV depolarizations. Plateau potentials propagating from dendrite to soma, and
48 action potentials propagating from soma to dendrite, created complex voltage waveforms in the
49 middle of the thin basal dendrite, comprised of local sodium spikelets, local plateau potentials,
50 and back-propagating action potentials, superimposed on each other. Our model replicated
51 these voltage waveforms across a gradient of glutamatergic stimulation intensities. Model then
52 predicted that somatic input resistance (R_{in}) and membrane time constant (TAU) may reduce
53 during dendritic plateau potential. We then tested these model predictions in real neurons, and
54 found that model correctly predicted the direction of R_{in} and TAU change, but not the magnitude.
55 In summary, dendritic plateau potentials occurring in basal and oblique branches put pyramidal
56 neurons into an activated neuronal state (“prepared state”), characterized by depolarized
57 membrane potential, and smaller, but faster membrane responses. The prepared state provides
58 a time window of 200-500 ms during which cortical neurons are particularly excitable and
59 capable of following afferent inputs. At the network level, this predicts that sets of cells with
60 simultaneous plateaus would provide cellular substrate for the formation of functional neuronal
61 ensembles.

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63 **Key words:** nonlinear, integration, NMDA spike, ensembles, membrane time constant

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66 **New & Noteworthy:** In cortical pyramidal neurons, we recorded glutamate-mediated dendritic
67 plateau potentials using voltage imaging, and created a computer model that recreated
68 experimental measures from dendrite and cell body. Our model made new predictions, which
69 were then tested in experiments. Plateau potentials profoundly change neuronal state -- a
70 plateau potential triggered in one basal dendrite depolarizes the soma and shortens membrane
71 time constant, making the cell more susceptible to firing triggered by other afferent inputs.

72

73 Introduction

74 The individual spiny neuron is subjected to a variety of excitatory input patterns, often resulting
75 from concurrent release across multiple synapses on a single dendrite. Due to combinations of
76 temporal and spatial clustering (Kleindienst et al., 2011; Makino and Malinow, 2011; Lee et al.,
77 2016), the amount of glutamate released on a single basilar dendrite can be quite large,
78 potentially spilling over to extrasynaptic NMDA receptors, and temporarily overwhelming the
79 ability of astrocytes to fully compensate (Chalifoux and Carter, 2011; Oikonomou et al., 2012).
80 *In vitro* focal applications of comparable amounts of glutamate, or repetitive synaptic
81 stimulations, will trigger dendritic plateau potentials in dendrites of CNS spiny neurons
82 (Milojkovic et al., 2004; Milojkovic et al., 2005a; Major et al., 2008; Suzuki et al., 2008;
83 Takahashi and Magee, 2009; Plotkin et al., 2011; Augustinaite et al., 2014). Originally
84 demonstrated *in vitro*, dendritic plateau potentials have now been described *in vivo* as well
85 (Lavzin et al., 2012; Xu et al., 2012; Smith et al., 2013; Gambino et al., 2014; Cichon and Gan,
86 2015; Du et al., 2017; Ranganathan et al., 2018). Glutamate-mediated dendritic spikes underlie
87 synaptic plasticity, sensory processing, and behavior (Gordon et al., 2006; Evans et al., 2012;
88 Lavzin et al., 2012; Cichon and Gan, 2015). Studying the biophysical aspects of dendritic
89 plateau potentials entails experimental measurements of precise dendritic voltage waveforms
90 along basal, oblique and tuft dendrites. These cannot be easily obtained using patch electrodes,
91 since it is not yet possible to consistently get one or multiple electrodes onto a single thin branch
92 (Nevian et al., 2007; Larkum et al., 2009).

93
94 NMDA spikes have been recorded by a number of groups and have been replicated
95 computationally (Rhodes, 2006; Jadi et al., 2014; Bono and Clopath, 2017; Doron et al., 2017).
96 By contrast, glutamate-mediated plateaus are less fully explored, and less well understood. In
97 this paper, we analyze properties and mechanisms of dendritic plateaus through coupled
98 experimentation and computer simulation. New experimental measurements in dendrites of
99 cortical pyramidal neurons were used to create a detailed computational model of dendritic
100 plateaus in a full morphology model of a Layer 5 cortical pyramidal cell, demonstrating that the
101 dynamics of these potentials will have a major effect on spike generation: the plateau not only
102 places the cell closer to spike threshold but also shortens the membrane time constant. As a
103 consequence, other incoming excitatory postsynaptic potentials (EPSPs), arriving on other
104 dendritic branches, become much more potent drivers of action potential (AP) initiation during
105 the plateau event. The results from the detailed model support a theoretical framework in which

106 plateau potentials allow cortical pyramidal neurons to respond quickly to ongoing network
107 activity and potentially enable synchronized firing to form active neural ensembles (Legenstein
108 and Maass, 2011; Chiovini et al., 2014; Antic et al., 2018).

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110

111 **Methods and Materials**

112

113 ***Brain slice and electrophysiology***

114 Sprague Dawley rats (P21 – 42) of both sexes were anesthetized with isoflurane, decapitated,
115 and the brains were removed with the head immersed in ice-cold, artificial cerebrospinal fluid
116 (ACSF), according to an institutionally approved animal protocol. ACSF contained (in mM) 125
117 NaCl, 26 NaHCO₃, 10 glucose, 2.3 KCl, 1.26 KH₂PO₄, 2 CaCl₂ and 1 MgSO₄, at pH 7.4. Coronal
118 slices (300 μm) were cut from frontal lobes. Whole-cell recordings were made from visually
119 identified layer 5 pyramidal neurons. Intracellular solution contained (in mM) 135 K-gluconate, 2
120 MgCl₂, 3 Na₂-ATP, 10 Na₂-phosphocreatine, 0.3 Na₂-GTP and 10 Hepes (pH 7.3, adjusted with
121 KOH). In some experiments, the intracellular solution was enriched with the fluorescent dye
122 Alexa Fluor 594 (40 μM) to aid positioning of glutamate stimulation electrodes on distal dendritic
123 branches. Electrical signals were amplified with a Multiclamp 700A and digitized with two input
124 boards: (1) Digidata Series 1322A (Molecular Devices, Union City, CA) at 5 kHz, and (2)
125 Neuroplex (RedShirtImaging, Decatur, GA) at 2.7 kHz sampling rate. All experiments were
126 performed at 33-34°C. Glutamate microiontophoresis was performed using sharp pipettes (40 ±
127 10 MΩ) pulled from borosilicate glass with filament (1.5 mm OD), and backfilled with 200 mM
128 Na-glutamate (pH=9). A programmable stimulator (Clampex, Molecular Probes) and stimulus
129 isolation unit IsoFlex (A.M.P.I.) were used to iontophoretically eject glutamate. A motorized
130 micromanipulator Shutter M-285 was used to drive the tips of glutamate pipettes into the slice
131 tissue with both “X” and “Z” axis motors engaged simultaneously. This was done to prevent
132 bending of the sharp electrode, which occurs if a simple “Z” axis motion is used.

133

134 ***Dye Injections***

135 The voltage-sensitive dye injection protocol was previously described in ref. (Antic, 2003).
136 Briefly, neurons were filled through whole-cell recording pipettes with a styryl voltage-sensitive
137 dye JPW3028 (Potentiometric Probes, Farmington, CT) dissolved in standard K-gluconate
138 based intracellular solution. Dye loading patch pipettes were filled with two varieties of the same
139 intracellular solution; one with and one without the dye. Dye-free solution occupied the very tip

140 of the pipette, while the back of the pipette lumen was microloaded with dye-rich solution (400 –
141 800 μ M). The purpose of dye-free solution in the tip of the patch pipette was to prevent dye-leak
142 during the maneuver through brain slice tissue. JPW3028 is lipophilic and binds indiscriminately
143 and irreversibly to all membranes. Even a small amount of dye leak during the formation of the
144 gigohm seal can generate strong fluorescent background, which has a devastating effect on
145 dendritic optical signals. The filling pipette was carefully pulled out (outside-out patch) and brain
146 slices were left to incubate for 40-120 minutes at room temperature. Just before optical
147 recordings, the cells were re-patched with dye-free pipette at physiological temperature (33-
148 34°C).

149

150 ***Dendritic voltage imaging***

151 Voltage-sensitive dye imaging was performed on a Zeiss Axioskop 2FS microscope equipped
152 with NeuroCCD camera (RedShirtImaging). We used Zeiss 40X objective IR-Achroplan 0.80
153 NA. Laser spot illumination was used to excite the voltage-sensitive dye (Zhou et al., 2007). Into
154 the epi-illumination port of the microscope, we inserted a Φ 200 μ m fiber optic guide with a
155 collimator. The laser beam (Cobolt Samba 532 nm, 150 mW) was focused on the other side of
156 the fiber optic guide using a microscope objective lens. This arrangement produced a
157 motionless spot of laser light (25 - 50 μ m in diameter) at the object plane. A region of interest
158 (ROI) was brought into the laser spot using X-Y microscope platform. The laser beam was
159 interrupted by an electro-programmable shutter (Uniblitz, Vincent Associates). Laser beams
160 were directed onto the preparation with the help of Zeiss epi-illumination filter cube: exciter 520
161 \pm 45 nm; dichroic 570 nm; emission $>$ 610 nm.

162 Optical signals were recorded with 80 x 80 pixels at a 2.7 kHz frame rate, stored, and
163 then temporally filtered (off-line) with digital Gaussian low-pass filter (1050 Hz cut-off), and
164 Butterworth high-pass filter (4.5 Hz), unless otherwise specified. To improve signal-to-noise ratio
165 several pixels (4 – 10 pixels) were selected inside the region of interest and spatially averaged,
166 unless otherwise specified. With the 40X magnification lens, used in this study, each pixel
167 covers 4.8 x 4.8 μ m in the object field. After the experiment, fluorescent images were captured
168 with IR-1000 Dage CCD camera. In order to obtain whole-field photographs of the dendritic tree,
169 brain slices were removed from the recording chamber and mounted on a microscope slide in
170 water-based mounting medium. Mounted microscope slides were transferred to Zeiss Axiovert
171 200M imaging station where photographs were taken with AxioVision LE system using 20x dry
172 and 40x oil immersion objectives.

173

174 Physiology data analysis

175 Optical and electrical measurements were analyzed using the software Neuroplex
176 (RedShirtImaging) and Clampfit (Molecular Probes). Plateau amplitude was measured as a
177 difference between the peak depolarization after the last AP in the burst and the baseline.
178 Duration of the plateau depolarization was measured at 50% of plateau amplitude. The linear
179 correlation coefficient (c.c.) and graph plotting were done in custom made software written in
180 Python. The backpropagation action potential (bAP) amplitude atop plateaus was measured
181 from the plateau phase ('p') to the peak of APs. The amplitude of the test-pulse-evoked voltage
182 transients (ΔV_m) was measured as a difference between voltage transient peak and baseline
183 established just prior to current injection (plateau level). Membrane time constant (TAU) was
184 measured in Clampfit by fitting an exponential through the charging curve.

185

186

187 Modeling

188 The simulations were implemented with the NEURON simulator (version 7.5) (Hines and
189 Carnevale, 1997) through its Python interface (version 2.7). The full model is available from
190 ModelDB (accession number 249705). Here briefly, the multi-compartment cell was modified
191 from a morphologically detailed L5 pyramidal neuron (Acker and Antic, 2009). It has 85
192 compartments and 439 segments in total: 36 compartments for basal dendrites, 45 for apical
193 dendrites, 3 for soma and 1 for axon.

194

195 The detailed channel parameters are listed in Table 1.

196

197

198 Modeling bAPs

199 We investigated the properties of bAPs on 6 different basal branches using similar methods as
200 (Acker and Antic, 2009). Square waveform current pulses (3 nA, 1.75 ms) were injected in the
201 soma; and the spike amplitude and peak time were measured at different locations along 6
202 basal dendrites. For TTX and 4-AP conditions, the sodium channel or A-type potassium channel
203 conductances were set to 0, respectively. The binned average (bin size = 20 μm) spike
204 amplitude and peak latency were plotted against the location on basal dendrites, as the distance
205 from soma.

206

207 **Modeling glutamate inputs**

208 The glutamate microiontophoresis experiments were simulated by activation of AMPA and
209 NMDA receptor models. AMPA and NMDA receptors were activated within a dendritic segment
210 (~60 μm length) on the targeted basal dendrite. The proximal edge of the activated dendritic
211 segment was 70 μm away from the soma. In order to study the effect of input location and the
212 spatial profile in dendrites, the length of the active dendritic segments was decreased to 10 - 30
213 μm .

214 Glutamate receptor channels were divided into two groups: synaptic (AMPA and NMDA,
215 ratio 1:1); and extrasynaptic (NMDA). The ratio of synaptic to extrasynaptic NMDA conductance
216 was set at 1:1. The extrasynaptic NMDA receptors were always activated 5 ms after the
217 activation of the neighboring synaptic NMDA receptors. The glutamate input strength was
218 regulated through a “weight factor”, which simultaneously scaled three parameters: [1] the
219 number of activated receptors; [2] the synaptic weights; and [3] the receptor activation time
220 window. In our conceptual model, clustered synaptic inputs overcome the capacity of the
221 glutamate uptake, thus causing glutamate molecules to linger longer in the vicinity of the
222 receptors. For this reason, in the current model (which nicely matches several sets of
223 experimental data, see below) an increase in glutamate input intensity causes glutamate
224 receptors to spend longer time in activated state. The minimum receptor activation time window
225 was 40 ms when the weight factor was set to 0, and the maximum time window was 90 ms,
226 when the weight factor was equal to 1. Two types of temporal activation patterns were used: [1]
227 the random function (continuous uniform distribution) and [2] the beta random function, available
228 in the Python NumPy library. Two membrane mechanisms for NMDAR were used, resulting in
229 two cell models: [Model 1] the classical two state kinetic model with alpha – function (Destexhe
230 et al., 1994); and [Model 2] the triple-exponential “envelope” time course and a sigmoidal
231 voltage dependence (Major et al., 2008). The same AMPA receptors were used in Models 1 & 2
232 (Destexhe et al., 1994). In all simulations, the maximum conductances (g_{max}) for AMPA,
233 synaptic NMDA and extrasynaptic NMDA were 0.05 μS , 0.005 μS , and 0.005 μS , respectively.
234 Barrages of EPSPs were simulated as the activation of AMPA receptors only on 5 dendritic
235 branches, different from the dendritic branch receiving glutamate input for initiation of local
236 plateau potential.

237

238 **Quantifications of the modeling results**

239 The measurements of plateau amplitude, duration and spikes per plateau in both experiments
240 and simulations were implemented using Python. Plateau amplitude was calculated as the

241 minimum voltage value between the last two APs riding on top of the plateau potential. Plateau
242 duration was calculated as the period of time during which the voltage was higher than the half
243 plateau amplitude (half-width). The membrane time constant was measured in response to a
244 square wave current injection, as the time required for decaying to 37% of its initial value. Local
245 input resistance in dendrites was attained by injecting a standard current pulse (100 pA, 100 ms)
246 and measuring local ΔV_m .

247

248

249 Results

250 We simultaneously recorded dendritic and somatic potentials in 10 layer V pyramidal neurons,
251 with somatic recordings alone in an additional 15 cells. Simulation fitting was done by hand in a
252 single full multicompartment morphology over several thousand simulation runs.

253

254 I Experiment: glutamate-evoked dendritic plateau potentials

255 Plateau potentials were induced by brief (5 ms) pulses of iontophoretically ejected glutamate
256 applied locally on individual dendrites of cortical Layer 5 pyramidal neurons (Fig. 1A1, *Camera 1*,
257 glut.). The glutamate iontophoretic injection covers an area of $\sim 10 \mu\text{m}$ in radius. From the rim of
258 this $10 \mu\text{m}$ circle, the glutamate concentration decreases \sim exponentially with distance and with
259 time. Displacement of the tip of glutamate electrode by only 5-10 μm can render a previously
260 threshold stimuli to become subthreshold, or very weak. Voltage waveforms of plateau
261 potentials in basal and oblique dendrites were recorded with voltage-sensitive dye imaging (Fig.
262 1A2, dend). Dendrites were illuminated by a spot of laser light, and the image of a dendritic
263 segment was projected onto a fast camera (Fig. 1A1, *Camera 2*). Simultaneously with dendritic
264 voltage imaging, we recorded somatic membrane potential in whole-cell (Fig. 1A2, soma).

265 **Glutamate threshold.** The glutamate pulse invariably produced a dendritic depolarization (Fig.
266 1, *A* and *B*) in all neurons tested in this way ($n = 10$). At lower glutamate input intensities, the
267 depolarization was seen as a brief EPSP (Fig. 1A2, blue shading). As the intensity of the
268 glutamatergic stimulation was gradually increased in equal steps ($\sim 10 \text{ nA}$, intensity of
269 iontophoretic current) a threshold was reached with a discontinuity from small EPSP
270 depolarizations up to the plateau voltage level. The passage from subthreshold (Fig. 1, *A2* and

271 *B*, dark blue trace) to suprathreshold (red trace), could be seen in both dendrite and soma.
272 Further increase in glutamate input intensity did not result in greater depolarization, but
273 prolonged the duration of the plateau (Fig. 1, *A* and *B*, trials 1 – 9), suggesting an all-or-none
274 spike mechanism (Schiller et al., 2000).

275 **Voltage waveform at the input site.** Locally, dendritic plateaus showed a characteristic voltage
276 waveform (Fig. 1C), beginning with a rapid onset ('r'). The sudden increase in voltage, seen
277 clearly in the dendrite, was low-pass filtered by the dendritic cable and so appeared less abrupt
278 in the soma (Fig. 1C, soma). This onset phase was capped with an initial sodium spikelet ('s'),
279 described further below. The dendritic plateau phase ('p') lasted >100 ms but terminated with an
280 abrupt decline, or collapse ('c') back to resting membrane potential (RMP).

281 **Superposition of 3 spikes in mid dendrite.** Simultaneous recordings from 2 dendritic sites
282 (Fig. 1D1) revealed three varieties of dendritic spikes including: [1] square-shaped glutamate-
283 mediated dendritic plateau potentials (Fig. 1D2, red trace); [2] dendrite-originating fast sodium
284 spikes uncoupled from somatic APs (Fig. 1D3, "init. s"); and [3] fast sodium spikes associated
285 with firing of APs in the cell body – backpropagating APs (Fig. 1D3, "bAPs"). The most distal
286 dendritic segment, closest to the point of glutamatergic input was dominated by square-shaped
287 plateau potentials (Fig. 1, *D2* and *D3*, red traces). The cell body was dominated by APs riding
288 on the somatic slow depolarization (black traces). The mid dendritic segment experienced a
289 complex voltage waveform resulting from a superposition of the three aforementioned spike
290 varieties: [1] plateau potential, [2] initial spikelet, and [3] bAPs (Fig. 1, *D2* and *D3*, blue traces).
291 To determine direction of propagation, we examined dendritic and somatic records on a faster
292 time scale (Fig. 1D4). The conclusions of this analysis are laid out in the next paragraph.

293 **Initial Na⁺ spikelet.** The first peak in the dendritic voltage waveform was not a bAP, but rather a
294 dendritic fast sodium spikelet at the beginning of the plateau that propagated orthodromically
295 from dendrite to cell body. Initial spikelets ('init. s.') invariably failed to trigger a somatic or
296 axonal AP, but were clearly seen as kinks in somatic recordings (Fig. 1D4, soma kink). The
297 peak of the dendritic sodium spikelet ('init. s.') occurred prior to its appearance in the soma (red
298 vertical line). This spikelet has been previously shown to disappear in the presence of the
299 sodium channel blocker, TTX (Milojkovic et al., 2005b; Nevian et al., 2007).

300 **Backpropagating action potentials (bAPs).** Simultaneous dendritic and somatic recordings
301 showed that plateau-triggered APs occurred in the cell body before the dendrite, thus indicating

302 that somatic APs propagated from soma into the dendrites, riding atop the plateau potential (Fig.
303 1D4). We found that bAPs could partially invade distal dendritic segments of basal dendrites
304 even during local plateau depolarizations (Fig. 1, D2 and D3, blue and red traces). bAP voltages
305 were diminished in amplitude at the distal site (ROI-2) compared to the proximal site (ROI-1),
306 demonstrating the retrograde direction of propagation, from soma to dendrite. It is important to
307 emphasize that the initial spikelet (Fig. 1D3, “*init. s*”) showed a completely opposite trend: initial
308 spikelet voltages were diminished in amplitude at the cell body (ROI-0, soma) compared to the
309 dendrite site (ROI-1, dend) due to their orthograde direction of propagation, from dendrite to
310 soma. Fast sodium spikelets propagating from dendrite to soma encounter a strong current sink
311 imposed by the large amount of membrane contained in the cell body and basal dendrites. The
312 dendrite-originating initial spikelets fail to charge the cell body rapidly or sufficiently, thus failing
313 to invade the soma (Goldstein and Rall, 1974; Moore and Westerfield, 1983).

314

315 II Simulation: computer model constrained by experimental measures

316 We built a full neuron model (Fig. 2, A1 and A2) with basal dendrites tuned to reproduce
317 previously published data. More specifically, these simulations matched experimental studies of
318 bAPs (without plateaus) obtained previously (Antic, 2003; Acker and Antic, 2009), showing a
319 ~18 mV/100 μm AP peak amplitude decrease with distance; AP backpropagation velocity: ~180
320 $\mu\text{m}/\text{ms}$ (Fig. 2, B1 - B3). Elimination of voltage-gated Na^+ channels (TTX condition) in model
321 dendrites, increased attenuation and decreased while removal of A-type K^+ channels (4-AP
322 condition) had opposite effects, matching bAP amplitude decrement with TTX or 4-AP,
323 measured experimentally (Acker and Antic, 2009). In real neurons, AP waveforms in the TTX
324 condition can be achieved using two patch electrodes on the cell body; one electrode in voltage
325 clamp mode delivering AP voltage waveform, and the other electrode in current clamp mode
326 controlling the quality of the “AP playback” (Acker and Antic, 2009).

327 Plateau potential activation is thought to be the result of synaptic activation on spine heads, as
328 well as glutamate-spillover activation of extrasynaptic NMDARs on spine heads and necks, and
329 on dendritic shafts (Fig. 2C1) (Arnth-Jensen et al., 2002; Scimemi et al., 2004; Chalifoux and
330 Carter, 2011; Oikonomou et al., 2012). We did not explicitly model diffusion of glutamate but
331 instead provided a delay of 5 ms to activate these receptors at the same location on the model
332 dendrites (Fig. 2C1, bottom). A plateau potential could be obtained with just synaptic NMDAR
333 activation (Fig. 2C2, Control, green trace), but showed increased initial slope, amplitude,

334 duration, and number of APs with the addition of extrasynaptic NMDAR activation (Fig. 2C2,
335 Control, black trace). Plateau potential generation was dependent on adequate NMDAR
336 activation and could be replicated in any oblique or basilar dendrite in both full morphology or in
337 simplified neurons with one or more basilar/oblique dendrites. It was therefore robust to other
338 changes in local ion channel densities, such as the elimination of Na⁺ channels (Fig. 2C2, TTX).
339 It was also robust to moderate changes in NMDA parameters, including activation and
340 inactivation time constants, and glutamate activation duration, and to glutamate stimulation
341 location (see below). Simulations reproduced major behaviors seen experimentally in the
342 present study (Fig. 1) including: (i) an inflection point on the rising phase at glutamate threshold
343 (Fig. 2, D1 and D2, red arrowheads); (ii) plateau phase duration of 200-500 ms; (iii) the initial
344 spikelet; (iv) bAPs on plateau, and (v) abrupt plateau collapse.

345 Approximately 13% of basal dendrites are endowed with an ability to generate local sodium
346 spikelets (Milojkovic et al., 2005b); most likely due to higher local concentrations of Na⁺
347 channels. Increasing the maximum sodium channel conductance (gNa_max) in basal dendrites
348 by 25% had only minor effect on the plateau morphology, but improved initial spikelet (Fig. 2D2).
349 Next, we considered the temporal organization of incoming glutamatergic inputs impinging on
350 the dendrite: comparing uniform random temporal distribution of synaptic activation (Fig. 2E1,
351 “uniform”) versus grouping of synaptic inputs at the beginning of temporal window (Fig. 2E2,
352 “alpha”). The alpha-pattern grouping of the excitatory inputs improved the resemblance between
353 model (Fig. 2E3) and experimental measurement (Fig. 2D3), producing a more abrupt initial rise
354 'r' (red arrowheads).

355 **Increased plateau duration with increased stimulation.** In the next series of experiments,
356 voltage-sensitive dye was replaced by Alexa Fluor 594, glutamate electrode positioned on basal
357 dendrite, and recordings obtained in the cell body only. Increasing the intensity of the dendritic
358 glutamatergic input (5 ms glutamate ejection on basal dendrites; 70–110 μm from soma center;
359 n=15) in equal increments produced characteristic families of traces (Fig. 3A), which could be
360 reproduced by simulation (Fig. 3B, soma). In simulation, one can inspect the voltage waveforms
361 occurring in dendrite (Fig. 3B, dendrite). The EPSP barrage impinging upon the basal dendrite
362 is discernable in the beginning of the dendritic voltage trace, as it creates a train of EPSP-like
363 transients (Fig. 3B, inset). In the next input intensity increment, the EPSP barrage would trigger
364 a regenerative plateau potential (blue trace), which is emphasized by a blue shade in both
365 dendrite and soma (Fig. 3B). In real neurons, the plateau amplitude at cell body (slow
366 component of somatic depolarization) showed a sigmoidal relation to the intensity of

367 glutamatergic input presented on the dendrite (Fig. 3C1, green). Similar distribution of the
368 somatic plateau amplitudes was produced by our model (Fig. 3C1, red). Despite the plateau
369 amplitude saturation (Fig. 3C1, input >0.4), cortical pyramidal neurons can interpret additional
370 increases in glutamate input intensity by the means of plateau duration and AP firing. In both
371 experiment and model, plateau duration increased linearly with increasing glutamate stimulation
372 (Fig. 3C2), which in turn caused an increase in AP count (Fig. 3C3).

373 We built two models based on two existing mechanisms for NMDAR conductances. Our “Model
374 1” employed the Destexhe *et al.*, 1994 NMDAR model, which mimicked our electrophysiological
375 recordings with Type 1 morphology of the plateau collapse – gradual fall-off (Suppl. Fig. S1A2).

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377 Our “Model 2” employed a more complex triple-exponential conductance model by Major *et al.*,
378 2008, and was able to match Type 2 morphology of the plateau collapse – abrupt drop (Suppl.
379 Fig. S1B2). Both Model 1 and Model 2 matched experimental plateau potential amplitudes,
380 durations, and AP firing, all of which were similar for both Type 1 and Type 2 plateau
381 morphologies (Suppl. Fig. S1, C and D). Performance of the two models was also similar,
382 except for a difference in glutamate threshold. The Major *et al* NMDAR model (Model 2)
383 required stronger synaptic activation for the NMDA current to become regenerative (e.g. spike).

384 Although voltage-sensitive dyes report membrane potential changes with microsecond precision,
385 with an optical signal directly proportional to membrane voltage, they cannot give precise
386 voltage values for the dendritic plateau (in millivolts), because dendritic optical signals cannot be
387 calibrated using the somatic patch electrode; explained in ref. (Antic, 2003). Therefore, we used
388 the simulation results to estimate plateau amplitudes at the site or their origin, in distal segments
389 of thin dendritic branches. Modeling exercises predict that the plateau phase of the dendritic
390 plateau potential is on average 57.6 ± 5.5 mV above resting membrane potential, or in the
391 absolute range: -21 to -10 mV (24 stimulus locations 70–180 μ m from soma center; 8 basal
392 dendrites; both NMDAR models).

393 Somatic plateau durations were strongly correlated to dendritic plateau durations, both
394 experimentally and in simulation (Fig. 4, A and B; $R^2=0.997$ for simulation; $R^2=0.983$ for
395 experiment). While plateau durations (half-widths) were identical at all dendritic segments, the
396 peak plateau amplitudes varied along the stimulated dendrite, being highest at the glutamate
397 input site (Fig. 4C; input site at 85–115 μ m). Plateau amplitude attenuated with distance from

398 the input site with greater attenuation towards the soma and lesser attenuation towards the
 399 sealed end of the dendrite (Fig. 4D). bAP amplitudes (measured from plateau to peak, Fig. 4C,
 400 inset), on the other hand, were highest near the soma and attenuated to less than 5 mV at distal
 401 dendritic segments experiencing plateau potential (Fig. 4E, >100 μm). The attenuation of the
 402 bAP amplitudes was intensified around the glutamate input site (Fig. 4E, gray rectangle)
 403 suggesting a shunting effect imposed by the glutamate-activated dendritic conductances.

404

405 III The effect of input location on somatic depolarization amplitude

406 In published electrophysiological experiments (Fig. 5A), larger somatic plateau amplitude was
 407 associated with dendritic stimulation closer to the soma (Major et al., 2008). This experiment
 408 was reproduced in our model neuron (Fig. 5B), and showed distance fall-off similar to Major *et*
 409 *al* data (compare Fig. 5A vs Fig. 5C). Inverting the axes for the averages of the model data (Fig.
 410 5C) allowed us to estimate the distance from the dendritic initiation site (in μm) as a function of
 411 somatic plateau amplitude (“amp” in mV):

412

$$413 \quad \text{[Eqn. 1]} \quad \text{Distance} = 326 e^{-0.073 * \text{amp}} + 16 \quad (\text{Model 1});$$

$$414 \quad \text{[Eqn. 2]} \quad \text{Distance} = 323 e^{-0.089 * \text{amp}} + 40 \quad (\text{Model 2}).$$

415

416 For example, the somatic plateau amplitude of ~ 25 mV (Fig. 3A) would indicate that
 417 glutamatergic activation was received ~ 68 μm away from the cell body, while amplitude 14 mV
 418 (Suppl. Fig. S1B1) would indicate a ~ 128 μm distance. Overall estimated distance for the 15
 419 cells studied ranged from 69 to 147 μm (average: 103 ± 19 μm).

420

421 Glutamate activation location only slightly affected dendritic spike amplitude at the stimulation
 422 location (Fig. 5D). This relatively subtle effect is explained by noting that plateau depolarizations
 423 are near the reversal potential of NMDAR voltage sensitivity, a ceiling effect. Location did have
 424 a substantial effect on plateau duration, an effect that could be seen in the soma as well as at
 425 the stimulus location, Fig. 5B (dashed vertical lines) and Fig. 5E (black markers). Duration
 426 effects can be explained by the gradual increase in input impedance (R_{in}) from proximal to distal,
 427 seen due to the sealed end of the dendrite (R_{in} in Fig. 5E, blue markers).

428 Dendritic plateaus, propagating from dendrite to soma, often reach the threshold for AP
 429 initiation (Fig. 3B). The number of somatic APs depended on the glutamate input’s distance

430 from the cell body (Fig. 5F). In each model dendrite, we found a similar distance, $128 \pm 12 \mu\text{m}$
431 ($n=6$ dendrites; both models), beyond which distal inputs were subthreshold for somatic AP
432 generation (Fig. 5F, distal pool). Presence or absence of APs atop the plateau phase is another
433 way for estimating the distance of the strong glutamatergic input onto a basal dendrite. Inputs
434 more distal than $\sim 130 \mu\text{m}$ typically produce spikeless plateau depolarizations in the cell body
435 (Fig. 5F, distal pool). Although distal plateaus failed to drive AP initiation on their own, they
436 caused sustained depolarizations of the cell body in the range of 10 to 20 mV. In the next series
437 of experiments, we asked whether dendritic plateau potentials change the dynamics of the
438 membrane response in the cell body.

439

440

441 **IV Dendritic plateau potentials change global electrical properties of the neuron**

442

443 To test the impact of dendritic plateau potentials on the overall neuronal membrane properties,
444 input impedance (R_{in}) and membrane time constant (TAU), rectangular current pulses (test
445 pulses) were injected into the cell body of a model neuron, while glutamatergic input was
446 delivered in the mid segment of one basal dendrite (Fig. 6A1, glut.). Simulations were performed
447 in models with sodium channel blockade, mimicking treatment with TTX. Two test pulses of
448 identical characteristics were delivered, before and during a glutamate-mediated plateau
449 potential (Fig. 6A1). The cell body response to a rectangular current pulse underwent drastic
450 changes in both amplitude (Fig. 6A2) and dynamics (Fig. 6A3). A decrease in steady state
451 amplitude (ΔV_m) suggested that during a dendritic plateau potential, the cell body of the neuron
452 was in a state of a lower R_{in} . Furthermore, the neuronal membrane response was faster (Fig.
453 6A3, compare TAU-d vs. TAU-b). During a plateau, it took less time for the test-pulse-evoked
454 voltage transient to reach 63% of its maximal amplitude (Fig. 6A3, 63%). That is, during
455 dendritic plateau potential, the somatic TAU (TAU-d) is markedly shorter than the TAU
456 measured before plateau onset (TAU-b) in the same neuron.

457

458 These model predictions (Fig. 6A1) were then tested experimentally (Fig. 6B1) using an
459 identical paradigm, in the presence of sodium channel blocker TTX, $1 \mu\text{M}$. We compared two
460 types of traces recorded from the same cell: [i] traces with glutamatergic stimulation paired with
461 test pulses (Fig. 6B1, "i"); and [ii] traces with test pulses omitted, i.e. glutamatergic stimulation
462 only (Fig. 6B1, "ii"). The contour of the glutamate-induced plateau, unaltered by test pulse (test

463 pulse omitted), was used to eliminate undulations in the baseline caused by the underlying
464 plateau (Fig. 6B1, Trace “i” minus trace “ii”).

465
466 During the dendritic plateau potential, test-pulse-induced somatic voltage transients were
467 smaller in amplitude (ΔV_m) and faster to rise (shorter TAU), compared to the same test pulse
468 performed on the same neuron just before the plateau onset (Fig. 6B2, compare traces *during*
469 vs. *before*). In the majority of cells, dV_m and TAU decreased significantly during the plateau (Fig.
470 6B2, *cell-1* to *cell-4*). However, in some trials these changes were more subtle (Fig. 6B2, *cell-5*
471 & *cell-6*). Average R_{in} (n=294 traces in 18 dendrites belonging to 8 neurons) was 53.6 ± 1.0 M Ω
472 before plateau, and 39.1 ± 0.9 M Ω during plateau (Fig. 6C1, $p < 0.0001$, unpaired student’s t-test).
473 Average TAU was 21.3 ± 0.4 ms before plateau, 13.2 ± 0.2 ms during plateau (Fig. 6C2, $p < 0.0001$,
474 unpaired student’s t-test).

475
476 Plateau-induced changes of R_{in} were originally predicted by the model (Fig. 6A): decrease of R_{in}
477 and TAU values during plateau, and then tested in real neurons (Fig. 6B). We analyzed the
478 magnitude of the plateau-induced change in model and real neuron. In each trace of the current
479 data set we measured membrane response of the same neuron (model and real) before and
480 during glutamate-evoked plateau potential. This allowed us to calculate the ratio *During/Before*
481 for each trace in both Model and Real neurons of this study. The ratio “*During/Before*” is a
482 measure of a relative decrease in R_{in} or TAU due to underlying plateau potential. R_{in}
483 *During/Before* simulation: 48 ± 1.5 % (mean \pm sem; n = 16 trial locations, 16 dendrites, 2 model
484 cells, Fig. 6D1, Model Neuron). R_{in} *During/Before* experiment: 64.5 ± 1.4 % (n = 294 trials, 18
485 dendrites, 8 cells, Fig. 6D1, Real Neuron; *, $p < 0.01$). TAU *During/Before* was 39.6 ± 0.6 % in
486 models (Fig. 6D2, Model Neuron), or 65.9 ± 1.7 % in real neurons (Fig. 6D2, Real Neuron; *,
487 $p < 0.01$). In ~13% of experimental dendritic locations (n = 39 out of 294 recordings), we found a
488 different result with respect to TAU, with TAU-d equal or greater than TAU-b (Fig. 6B2, *cell-5* &
489 *cell-6*). This anomaly was in one part due to some distal plateaus having a small amplitude in
490 the cell body (see below), and partially an artifact of the difficulty of controlling baseline voltage
491 during the plateau (Fig. 6B1, note a slow decline of voltage during plateau phase), which would
492 alter estimation of TAU by exponential fitting. Including the outliers, the model-predicted
493 shortening of TAU was confirmed in real neurons, but the degree of shortening was less than
494 predicted (Fig. 6D2, *).

495

496 Using sinusoidal current injections into the cell body, we found that neuronal impedance
497 decreases during plateau potential (Suppl. Fig. S3). The effect was strongest at low frequencies
498 (5 and 10 Hz), and negligible at stimulus frequencies greater than 75 Hz (Suppl. Figs. S4 - S7).

499
500 We have shown that glutamatergic inputs arriving in proximal segments of basal dendrites,
501 closer to the cell body, produce greater somatic depolarizations than input received in distal
502 dendritic segments, far away from the cell body (Fig. 5). Next, we asked whether proximal
503 dendritic inputs exert stronger influence on the dynamics of the somatic membrane response
504 (Fig. 7A1). Using fixed input intensity, the membrane charging curve was faster when plateau
505 potential was induced by proximal inputs (Fig. 7A2, inset, compare “*distal*” and “*proximal*” trace).
506 By gradually changing the location of glutamatergic input along model basal dendrites ($n = 6$
507 dendrites) and measuring soma TAU on each trial, we found that plateau-induced shortening of
508 the soma TAU in model neurons strongly depended on the distance between the cell body and
509 glutamate input site - proximal inputs exerted more prominent shortening of the soma TAU than
510 distal inputs (Fig. 7, B1 and B2).

511
512 Experiments supported prediction: plateau-induced changes of cell body R_{in} and TAU are more
513 pronounced when dendritic plateaus were more proximally. The model prediction was tested
514 using TTX to prevent any AP firing (Fig. 7A1, schematic). In real neurons, at each input location
515 we recorded multiple repetitions, with and without glutamate stimulus (Fig. 7C1). In real neurons,
516 with distances between proximal and distal input site of 40 – 110 μm , membrane charging was
517 faster when the plateau potential was induced by proximal dendritic inputs. More specifically, in
518 5 out of 6 dendrites stimulated at two locations, the soma TAU were significantly shorter in
519 proximal versus distal paradigm (Fig. 7C2), as predicted by model (Fig. 7B2).

520

521

522 **V Voltage-induced changes in R_{in} and TAU**

523

524 Although our working hypothesis states that plateau-induced changes in TAU are due to
525 massive dendritic conductances, greater somatic depolarization with more proximal input (Fig.
526 5) might also explain the greater effects of proximal inputs on R_{in} and TAU. We therefore tested
527 R_{in} and TAU in real neurons, while controlling somatic voltage by either 1s current clamp (Fig.
528 8A, $n=22$ neurons), or dendritic plateau potential (Fig. 8B, $n = 8$ neurons), in the presence of
529 TTX. A test pulse was delivered in the middle of the “voltage-setting pulse” (Fig. 8A1, test

530 pulse). The neuronal membrane potential, V_m -t, obtained just before the arrival of the test
531 pulse, served as an independent variable in this experiment, whereas R_{in} and TAU are
532 dependent variables. Measures were normalized against values obtained in the same neuron at
533 RMP, showing shallow hyperbolic distributions across the range of membrane voltages, " V_m -t",
534 (Fig. 8, A2 and A3). Too much depolarization, or too much hyperpolarization, decreased both
535 R_{in} and TAU.

536 Effects were more marked when using voltage change via dendritic plateau potentials
537 (Fig. 8B1, n=8 neurons). R_{in} and TAU both still decreased with membrane potential increases
538 (Fig. 8, B2 and B3). However, plateaus produced more consistent reductions in both measures,
539 particularly in the range of -50 to -30 mV (Fig 8, highlighted area). The more pronounced effects
540 with plateaus indicate that voltage alone does not explain the R_{in} and TAU changes. Instead,
541 glutamate-mediated dendritic plateau potentials affect somatic R_{in} and TAU through combined
542 effects of both voltage and conductance changes.

543

544

545 VI Plateau potentials and synaptic integration

546

547 Plateau depolarization brings the somatic membrane closer to firing threshold (Fig. 5C), which
548 may enhance the efficacy of EPSPs towards initiation of APs. Faster charging of the somatic
549 membrane (lower TAU), may further enhance the efficacy of EPSPs in producing a spike.
550 However, reduction in R_{in} , and reduction in driving force, will synergistically reduce EPSP
551 amplitude and reduce this boost. To assess these countervailing influences, we simulated
552 integration of identical sets of spatially-distributed EPSP barrages arriving on multiple dendrites
553 (Fig. 9) in the presence, or absence of dendritic plateau potential occurring in a single basal
554 dendrite (Fig. 9A, dendrite marked by "*Plateau*"). An EPSP barrage that was subthreshold
555 before the plateau (Fig. 9B, "*EPSPs before plateau*"), caused AP firing when it arrived during
556 the plateau (Fig. 9B, "*EPSP-evoked AP*"), demonstrating that "a spikeless" plateau will enhance
557 the efficacy of synaptic integration.

558 We also investigated the effects of plateaus crowned with AP ("*spiking plateaus*") on
559 EPSP barrages (Fig. 9C), demonstrating a distinct EPSP-induced AP can also be generated
560 during spiking plateaus (Fig. 9C, "*EPSP-evoked AP*"). The timing of the additional spike
561 ("*EPSP-evoked AP*") was closely tied to the EPSP onset (dashed vertical line), regardless of the
562 number of APs riding on the plateau phase (Fig. 9, C and D). Hence, both "spikeless plateaus"

563 (Fig. 9B) and “spiking plateaus” (Fig. 9, C and D) increased the capacity of cortical neurons to
564 respond to afferent EPSPs by generation of new APs (“EPSP-evoked AP”).

565 The amount of time (temporal delay) from the onset of EPSP barrage to the AP peak
566 (dT) can be used as a measure of the neuronal responsiveness to incoming afferent inputs.
567 Shorter dT would indicate neuronal states of greater excitability. In these series of simulations,
568 the dendritic plateau potential was kept at a fixed time delay from the beginning of the trace
569 (e.g. time point 200 ms). In repeated simulation trials, we systematically changed the timing of
570 the EPSP barrage (from 0 to 600 ms), while keeping the plateau fixed at 200 ms. The EPSP-to-
571 AP temporal delay (dT) was reduced from ~12 ms before plateau to ~6 ms during plateau (Fig.
572 9E). Time interval dT precisely followed the contours of the plateau voltage waveform (Fig. 9F),
573 suggesting a strong impact of dendritic plateau potentials on the process of synaptic integration
574 in the cell body. During the plateau potential, the interval between EPSP onset and AP peak
575 shortened, with degree of shortening proportional to depolarization, demonstrating greater
576 responsiveness to incoming EPSPs.

577 The plateau induced changes in dT (Fig. 9F) could be due to either depolarization of
578 membrane, or shortening of TAU (Fig. 6), or both. To distinguish the impact of membrane
579 voltage alone, we designed an approach in which we compare the behavior of dT, while the cell
580 body voltage was controlled by either patch pipette (Fig. 9G, Current injection) or dendritic
581 plateau potential (Fig. 9E). More depolarization produced shorter time intervals to AP in
582 response to a standard EPSP barrage (Fig. 9, G and H). Comparing the effects of somatic
583 current injection (Fig. 9H, light gray circles) to dendritic plateau potential (Fig. 9H, dark gray
584 circles) at the same membrane voltage, demonstrated only slight additional effect of plateau-
585 mediated time constant change in decreasing dT.

586

587

588

589 Discussion

590

591 We used optical imaging to characterize voltage waveforms of glutamate-mediated plateau
592 potentials occurring in basal and oblique dendrites of neocortical layer 5 pyramidal neurons. By
593 employing a gradually increasing intensity of the focal glutamatergic input, we documented the
594 transitions between subthreshold to threshold regenerative local potentials, occurring at the
595 dendritic site of initiation. Previously, subthreshold-to-threshold transitions of membrane
596 potential occurring in a thin basal dendrite were inferred indirectly from the somatic patch

597 electrode recordings (Schiller et al., 2000; Losonczy et al., 2008; Major et al., 2008; Takahashi
598 and Magee, 2009; Augustinaite et al., 2014). The sets of voltage waveforms, obtained at
599 different levels of excitatory input, and simultaneously recorded at dendrite and soma (present
600 study), provided constraints for simulation of a cortical pyramidal neuron. The modeling data
601 obtained with the detailed model (realistic morphology + uneven spatial distributions of
602 membrane conductances) demonstrated that dendritic plateau potentials changed the state of
603 pyramidal cells in a profound way, with implications for neuronal network information processing.

604 During these dendritic plateau potentials, cell bodies of pyramidal neurons are placed in
605 a depolarized state closer to the AP firing threshold. With this sustained depolarization state, the
606 somatic membrane shows a notably faster capacitative charging in response to depolarizing
607 currents (i.e. excitation). As a result of dendritic plateaus, pyramidal neurons are more
608 responsive to afferent synaptic activity arriving anywhere on the complex dendritic tree.
609 Barrages of EPSPs, which are subthreshold before the plateau, become more successful
610 drivers of axo-somatic APs during the plateau. Even in spiking neurons, dendritic plateaus
611 accelerate initiation of additional EPSP-induced APs. The time delay between the onset of
612 EPSPs and the onset of EPSP-induced AP (dT) is notably shorter during the plateau. Faster
613 EPSP-to-AP transition rates are expected to improve neuronal ability for “tuning” into fast
614 rhythmic afferent synaptic and network activities. Dendritic plateau potentials move cortical
615 pyramidal neurons from resting state (‘Down state like’) into a more excitable state (‘Up state
616 like’); a sustained depolarized state during which afferent inputs are more effective and the
617 transformation of afferent excitatory inputs into postsynaptic AP firing is faster.

618
619 **Dendritic voltage waveforms.** Over the years, researchers have used somatic recordings to
620 study initiation of local spikes in basal and oblique dendrites of pyramidal neurons. Within
621 somatic recordings, they searched for “kinks” which may represent filtered and decremented
622 versions of the original dendritic spike waveforms (Losonczy et al., 2008; Remy et al., 2009). A
623 lot has been learned about the behavior and ionic composition of local dendritic spikes without
624 ever showing what dendritic spikes look like in the thin dendrite (Losonczy et al., 2008; Remy et
625 al., 2009). In the current study, we overcame the limitations of the earlier explorations by
626 employing an optical imaging method capable of tracking membrane potential changes at
627 submillisecond resolution (Short et al., 2017). We show that glutamate-mediated local dendritic
628 spikes are complex waveforms comprised of several phases including a rapid rise, initial
629 spikelet, plateau segment and abrupt collapse back to resting membrane potential (Fig. 1C).

630 In one prior study, researchers were able to patch basal dendrites with micropipettes of very
631 high electrical resistance, resulting in dendritic whole-cell recordings with high series resistance
632 (Nevian et al., 2007). They found that synaptic stimulations generate rectangular local dendritic
633 potentials, while direct current injections generated local sodium spikes in some basal dendrites.
634 Our current study explored several aspects of dendritic potentials which were not measurable in
635 the prior study [1-5]. [1] We explored how gradual increase in glutamatergic input triggers local
636 regenerative potentials in basal dendrite. The previous study did not explore basal dendrite
637 voltage in response to gradually increasing input (but see ref. (Larkum et al., 2009) for graded
638 glutamatergic input on apical tuft branches). [2] We showed that sodium spikelets were initiated
639 by glutamatergic inputs. The previous study used direct current injection to activate sodium
640 channels. [3] We showed that sodium spikelets precede the plateau phase and are responsible
641 for the same kinks in the somatic recordings (Fig. 1C), previously correctly interpreted as
642 dendritic spikes in thin branches (Losonczy et al., 2008; Remy et al., 2009). [4] We made
643 recordings from two dendritic sites simultaneously (Fig. 1D) allowing for a better understanding
644 of dendritic voltage maps. The previous studies were restricted to one recording site per basal
645 dendrite. [5] We described propagation of glutamate-evoked sodium spikelets and plateau
646 potentials from dendrite to soma and simultaneous propagation of APs traveling from soma to
647 dendrite. These three types of potentials meet in the mid segments of dendritic branches
648 resulting in complex waveforms, which have not been identified previously by patch electrode
649 recordings in basal dendrites (Nevian et al., 2007).

650

651 **Experimental constraints on the current model.** Typically, computational models of CNS
652 neurons are based on the voltage recordings from the cell body. Our model of a cortical
653 pyramidal neuron was constrained by 4 sets of experimental data: (i) voltage waveforms
654 obtained at the site of the glutamatergic input in distal basal dendrite, including initial sodium
655 spikelet, fast rise, plateau phase and abrupt collapse of the plateau; (ii) a family of voltage
656 traces describing dendritic membrane responses to gradually increasing intensity of
657 glutamatergic stimulation (Fig. 1, A and B, 'dend'); (iii) voltage waveforms of backpropagating
658 action potentials in basal dendrites (Antic, 2003); and (iv) the change of bAP amplitude in
659 response to drugs that block Na⁺ or K⁺ channels (Acker and Antic, 2009).

660

661 **Extrasynaptic NMDAR channels.** Glutamatergic synapses are functionally clustered on
662 dendrites; the neighboring synapses in one dendrite activate together more often than the

663 synapses scattered on many branches (Larkum and Nevian, 2008; Kleindienst et al., 2011;
664 Wilson et al., 2016). Repetitive clustered synaptic stimulation has been shown to overcome the
665 ability of astrocytic processes to clear glutamate (Suzuki et al., 2008; Chalifoux and Carter,
666 2011), and can actually induce astrocytic glutamate release through reversal of astrocyte
667 glutamate transporters (Carmignoto and Fellin, 2006). This would allow excitatory
668 neurotransmitter to spill-over from synaptic clefts to activate extrasynaptic NMDA receptors
669 between dendritic spines (Rusakov and Kullmann, 1998; Tovar and Westbrook, 1999; Harris
670 and Pettit, 2007; De-Miguel and Fuxe, 2012; Petralia, 2012). During synaptically-evoked NMDA
671 spikes (2 synaptic stimuli at 50 Hz), glutamate diffusion from synaptic clefts to extrasynaptic
672 NMDA receptors on dendritic shafts has been detected using two-photon calcium imaging
673 (Chalifoux and Carter, 2011).

674 Direct immunostaining has found a similar density of NMDARs at synaptic and
675 extrasynaptic locations (Petralia et al., 2010). A kinetic study showed no major differences in
676 dynamics between NMDARs at these two locations (Papouin and Oliet, 2014). This led us to set
677 magnitudes of synaptic and extrasynaptic NMDA conductances at a 1:1 ratio, and to use the
678 same membrane mechanism for both (Methods). We implemented a 5 ms time delay to account
679 for time required for glutamate diffusion from synaptic cleft to dendritic shaft.

680 Our model demonstrated dendritic plateaus even without glutamate spillover. However,
681 adding the activation of extrasynaptic NMDARs improved three cardinal features of dendritic
682 plateau potentials (Fig. 2C2). Namely, activation of extrasynaptic NMDARs produces: [a] faster
683 rising, [b] longer lasting, and [c] larger amplitude somatic plateau depolarizations. We speculate
684 that the major difference between typical dendritic NMDA spikes, which last ~50 ms (Schiller et
685 al., 2000), and typical dendritic plateau potentials, which last 200 – 500 ms (Milojkovic et al.,
686 2004; Suzuki et al., 2008), may lie in the extent to which a released glutamate is maintained
687 around dendrite, due to a standstill in the astrocytic uptake, causing the activation of
688 extrasynaptic NMDARs (Oikonomou et al., 2012).

689

690 **Plateaus last longer when triggered from distal dendritic segments** (Fig. 5E, “duration”).
691 This effect is due to higher distal local effective R_{in} (Fig. 5E, “ R_{in} ”). Consider two identical
692 glutamate receptor current waveforms, one generated at proximal, and the other occurring at
693 distal dendritic location. The waveform of the NMDA current interacts with the local R_{in} to
694 generate a local voltage transient ($\Delta V_m = R_{in} * I_{NMDA}$). In distal dendritic segments equipped with
695 higher R_{in} , the tail of the current waveform produces greater voltage deflections (for longer time)

696 thus giving local plateau potentials longer durations. Our model predicts that distally positioned
697 synaptic cluster would produce longer sustained depolarizations compared to the proximally
698 positioned cluster. Therefore, strategic positioning of a distinct group of afferents on the most
699 distal dendritic segments may have an impact on cortical processing of information (Antic et al.,
700 2018).

701

702 **Spikeless plateaus begin in the middle of the basal dendrite.** As glutamate input location is
703 moved away from the soma, somatic plateau depolarization amplitude decreases rapidly
704 (Milojkovic et al., 2004; Major et al., 2008; Augustinaite et al., 2014). This “distance-dependence”
705 segregates roughly the basal dendrite into proximal and distal region -- only proximal dendritic
706 segments will generate APs on the somatic plateau (Fig. 5F). Distal dendritic segments, on the
707 other hand, produce spikeless depolarizations of the soma (Fig. 5B, 114 μm). We predict that
708 this distal “no-AP-generation region” begins at 110-130 μm in most basal dendrites (Fig. 5F),
709 consistent with ref. (Milojkovic et al., 2004) - their figure 1. The functional implications of having
710 two context-defined groups of excitatory inputs segregated in two geographical sections of the
711 same dendrite, “proximal drivers” (arriving in proximal dendrite) versus “distal drivers” (impinging
712 on distal dendritic segments) are discussed in ref. (Jadi et al., 2014); while “retinothalamic”
713 (proximal dendrite) versus “corticothalamic” (distal dendrite) input groups, and their biophysical
714 interactions, are discussed in ref. (Augustinaite et al., 2014).

715

716 **Invasion of backpropagating APs into the plateau generating dendrite.** An AP
717 backpropagating from soma to dendrite maintains significant amplitude out to $\sim 150 \mu\text{m}$ (Fig.
718 2B2, black line). During a plateau, APs backpropagating from soma to dendrite are readily seen
719 above the plateau at proximal, but not at distal locations (Fig. 4C), with bAP on plateau
720 amplitude-decline abruptly occurring at 70-100 μm (Fig. 4E). Backpropagation of APs on
721 plateau (from soma to basal dendrite) is limited by four factors: (i) lack of regenerative Na^+
722 channel activation distally due to low channel density in basal dendrite (Acker and Antic, 2009);
723 (ii) plateau depolarization-induced Na^+ channel inactivation; (iii) plateau-depolarization-induced
724 repolarizing current from various K^+ channels in basal dendrites (Cai et al., 2004; Nevian et al.,
725 2007; Acker and Antic, 2009); and (iv) shunting of the AP current through the large NMDAR
726 conductance at the glutamate input site (Fig. 4E, *input site*).

727

728 **Distortion of the neuronal R_{in} and TAU by simple depolarization.** In the voltage range from -
729 90 mV to -50 mV we observed depolarization-induced increase in R_{in} (Fig. 8A2), previously

730 reported in vivo, and attributed to anomalous rectification of the inward-rectifier K⁺ channel
731 (Waters and Helmchen, 2006). In the current injection paradigm (Fig. 8A1), R_{in} changes with
732 voltage, across a voltage range from -90 mV to -15 mV (Fig. 8A2). R_{in} is reduced on both ends
733 of this voltage range (Fig. 8A2), likely due to complex activation of voltage-gated conductances
734 (K⁺, Ca²⁺ and HCN channels; but not Na⁺ channels -- experiments done in TTX). Since R_{in} alters
735 TAU (TAU = R_m x C_m), TAU will also change across this voltage range, as we demonstrated
736 experimentally (Fig. 8A3).

737

738 **Membrane time constant TAU.** The membrane charging curve was fitted with an exponential
739 function, and the reported TAU values should be interpreted as “apparent TAU in the presence
740 of voltage-dependent conductances”, as discussed by (Koch et al., 1996).

741 Strong activation of spatially distributed synaptic inputs transiently increases neuronal
742 membrane conductance, thus lowering TAU in real neurons (Bernander et al., 1991) and model
743 neurons (Destexhe et al., 2003). Here we demonstrated similar effects of clustered synaptic
744 inputs that produced dendritic plateau potential: TAU was shortened during the plateau (Fig. 6A
745 model; Fig. 6B experiment). During the plateau potential, TAU was affected by both glutamate-
746 induced decrease in R_{in}, and to a lesser extent, by activation of voltage gated conductances.
747 These two factors force the neuronal charging curve to reach its steady state sooner (shorter
748 TAU) during the plateau compared to before the plateau (Fig. 6, B2, C2 and D2).

749 The impact of membrane voltage alone on R_{in} or TAU was addressed in experiments.
750 When pyramidal neurons were depolarized by dendritic plateau potentials, the changes in R_{in}
751 and TAU were more pronounced than when the same neurons were depolarized by simple
752 current injections (Fig. 8, compare A vs B).

753

754 **Synaptic responses are more effective during plateau potential.** The most obvious factor
755 increasing spike probability (in response to EPSPs) is the depolarization itself, which shifts the
756 somatic (and axon initial segment) potential ~20 mV closer to spike threshold (Fig. 3C1). An
757 additional factor increasing spike probability is the increased speed of response due to
758 decreased TAU in the cell body (Fig. 6). EPSPs with faster rise times are more powerful
759 activators of the voltage-gated sodium current due to the sodium channel activation kinetics
760 being faster than inactivation kinetics (Hodgkin and Huxley, 1990; Wickens and Wilson, 1998;
761 Azouz and Gray, 2000). Shortening of TAU facilitates EPSP-induced sodium channel activation,
762 reducing delay to threshold. However, at the same time, shortening of TAU narrows the

763 integration time window and reduces EPSP summation. These are two disparate faces of the
764 same biological process.

765 The impact of the glutamate-mediated dendritic plateau potential on the incoming
766 EPSPs was experimentally studied in thalamocortical (TC) neurons of the dorsal lateral
767 geniculate nucleus (Augustinaite et al., 2014). Dendritic plateau potentials evoked in distal
768 dendritic segments of TC neurons enhanced the retinocortical transmission (proximal dendrite)
769 by lifting the cell body membrane potential toward the threshold for action potential generation,
770 and thereby increasing the probability for spike generation by the synaptic input from the retinal
771 afferents (Augustinaite et al., 2014). During visual perception tasks, the feedback
772 corticothalamic inputs arriving at distal dendritic segments of TC neurons can use dendritic
773 plateau potentials for controlling the efficacy of retinal inputs arriving at proximal dendritic
774 segments of TC neurons. A burst of corticothalamic inputs, which does not evoke dendritic
775 plateau potential, but rather a strong synaptic potential, would drastically distort the relative
776 amplitudes and relative timings inside the train of retinal inputs. The steady state phase of the
777 plateau potential (plateau phase), on the other hand, provides a clean change in the input gain,
778 without affecting the relative amplitudes or relative timing of the individual retinothalamic inputs.

779

780 **Timing of individual inputs.** During the plateau, relative timing of sequential inputs may be
781 preserved, as suggested by Augustinate et al. (2014). However, we found dramatic shortening
782 of EPSP-to-AP delay during plateau potentials (Fig. 9F). Our current modeling data indicate that
783 during plateau potential, a cortical pyramidal neuron can follow significantly faster changes (or
784 oscillations) occurring in afferent neural networks, as can the very same neuron before the
785 onset of the dendritic plateau potential. A marked reduction in TAU during neuronal “*high*
786 *conductance states*” (massive barrages of glutamatergic and GABA-ergic inputs disseminated
787 throughout dendritic tree) allows neurons to resolve higher frequency inputs (Destexhe et al.,
788 2003).

789 In the current study, dependence of dT on the Vm-t was very similar between “induced
790 voltage change” and “plateau” (Fig. 9H) despite the fact that TAU differed across these 2
791 conditions. dT (time from input to AP) was only weakly influenced by TAU due to the
792 countervailing effects mentioned above. The rise time of an EPSP is limited by the dynamics of
793 the synaptic current (e.g. rise time of the current waveform) and by the membrane capacitance
794 (Cm), but less strongly by TAU (Cm x Rm). With fixed Cm, one can change TAU by changing
795 Rm only. This type of TAU modulation (Rm only) has minimal impact on the rise time of EPSP.
796 In this sense, the rise time of EPSP is more sensitive to Cm than to a TAU. In pyramidal cells

797 receiving large number of EPSPs on proximal dendrites, the time delay between onset of EPSP
798 barrage and AP generation (dT) is notably shorter than the TAU (Koch et al., 1996). Depending
799 on the synapse clustering in space (segregation) and in time (synchronization), the threshold for
800 spike generation can be reached in a fraction of TAU. For example, in a neuron whose TAU was
801 found to be 20 ms, a strong and synchronous synaptic input can generate an AP only 3 ms after
802 the onset of the EPSP barrage. On the other hand, if the EPSP input is temporally and/or
803 spatially becoming more and more dispersed (in particular toward distal synapses) then dT will
804 begin to be more and more influenced by the TAU (Koch et al., 1996).

805

806 **Limitations of the model.** Our model is currently a special purpose model with a focus on the
807 phenomenology of dendritic plateaus in the context of basilar and oblique dendrites. The model
808 has been tuned to accurately match these, and to also match prior data on back-propagating
809 APs (bAPs) in basilar dendrites, with and without channel blockers. Further extension and
810 tuning of the model will be needed to also reflect bAPs, and synaptic integration at the apical
811 nexus and tufts above nexus (Short et al., 2017), addition of voltage gated conductances that
812 accurately model depolarization-only-induced changes in the somatic R_{in} and TAU (Fig. 8)
813 (Waters and Helmchen, 2006). Additional improvements will allow us to combine this model with
814 our prior models of corticospinal (thick-tufted) and corticostriatal (thin-tufted) Layer 5 pyramidal
815 cells (Neymotin et al., 2017; Dura-Bernal et al., 2018).

816

817 **Dendritic plateau potentials in vivo.** One major concern with experiments performed in brain
818 slices is that dendritic signals observed *ex vivo* may not exist in living animals. Here, however
819 several studies support the existence of dendritic plateau potentials *in vivo* (Lavzin et al., 2012;
820 Xu et al., 2012; Smith et al., 2013; Gambino et al., 2014; Cichon and Gan, 2015; Du et al., 2017;
821 Ranganathan et al., 2018). Significantly, two recent studies (Moore et al., 2017; Kerlin et al.,
822 2019) reported *in vivo* plateau potentials with characteristics directly comparable to the
823 biophysics of the plateau potentials discussed in the present study. Glia-encapsulated-tetrode *in vivo*
824 recordings showed large-amplitude, sustained plateau depolarizations (lasting several
825 hundred milliseconds) accompanied by sodium spikes (ref. (Moore et al., 2017), their figure 4).
826 These dendritic plateau depolarizations are directly comparable to the data we show in Figs 1 –
827 5. However, in the current study, we find that only the first sodium spikelet originates in distal
828 dendrite (Fig. 1, C and D), whereas the *in vivo* results showed distal-dendrite origin for the
829 majority of the recorded fast spikelets.

830 High-resolution 3D calcium imaging of pyramidal neuron dendrites, out to 300 μm from
831 the cell body, have been performed in motor cortex during a tactile decision-making task (Kerlin
832 et al., 2019). This study demonstrated branch-specific dendritic events similar in dynamics,
833 duration ($\sim 1,000 - 2,000$ ms) and spatial spread to NMDA-mediated plateaus described
834 previously using calcium imaging in brain slices (Milojkovic et al., 2007; Major et al., 2008;
835 Augustinaite et al., 2014). The longer duration reflects the fact that dendritic calcium waveform
836 is 3 - 6 times longer than the voltage plateau when measured with voltage and calcium imaging
837 in the same dendrite (Milojkovic et al., 2007). Such prolonged depolarization and calcium rise
838 can contribute to synaptic plasticity (Takahashi and Magee, 2009; Brandalise et al., 2016). The
839 authors of the in vivo study argued that long-lasting dendritic excitation could be part of the
840 cellular mechanism of short-term memory (Kerlin et al., 2019). Short-term electrical activity is
841 perhaps useful for storing sensory experiences from the recent past to guide present decisions
842 and actions (Leavitt et al., 2017). Sustained depolarizations in response to strong glutamatergic
843 input (Larkum et al., 2009; Kerlin et al., 2019), an inherent property of basal, oblique and apical
844 tuft branches, will keep the neuron in a brief spiking mode (brief persistent activity), which is
845 thought to be a correlate of a short-term (1 - 2 sec) dynamic memory (Goldman-Rakic, 1995;
846 Leavitt et al., 2017). This view is in line with the idea that dendritic plateau potentials change the
847 state of neurons for hundreds of milliseconds, by: [i] bringing their membrane potential closer to
848 AP firing threshold (Fig. 5), [ii] enhancing the efficacy of synaptic integration (Fig. 9B), [iii]
849 shortening the EPSP-to-AP time (Fig. 9F), and [iv] improved detection of faster network rhythms
850 (Fig. 9, C and D).

851

852

853 **Figure legends**854 **Figure 1. Voltage waveforms of the glutamate evoked dendritic plateau potentials.**

855 **(A₁)** Bottom image: Pyramidal neuron filled with JPW-3028. Image was acquired by standard
 856 camera used for patching (Camera 1). Top image: Laser spot illumination technique imaging
 857 one individual dendrite at low-resolution (80x80 pixel), fast (2.7 kHz), voltage-imaging camera
 858 (Camera 2). **(A₂)** Glutamate was applied iontophoretically (5 ms) at location indicated in *A₁*,
 859 bottom image. The intensity of the glutamatergic stimulation was increased in equal steps
 860 through 9 recording trials (Trials 1-9), while optical signals were recorded in the dendritic region
 861 of interest (ROI 1) marked by rectangle in *A₁*, top image. “Soma” marks the somatic whole cell
 862 recordings obtained simultaneously with the optical signals. **(B)** Additional cell; same
 863 experimental paradigm as in *A*. **(C)** Glutamatergic stimulation of an oblique dendrite (duration 5
 864 ms) triggers a local plateau potential. “r” - fast rise; “s” - initial sodium spikelet; “p” - plateau
 865 phase; “c” – collapse, of the somatic plateau potential. **(D₁)** Pyramidal neuron filled with JPW-
 866 3028. The position of the glutamate-filled sharp electrode is marked by drawing. **(D₂)** In Trial 1,
 867 glutamate-induced membrane potential changes are recorded simultaneously at two ROIs on
 868 the same dendrite and in the cell body (whole-cell). **(D₃)** In Trial 2, the glutamatergic stimulus
 869 was increased by 20% causing a longer lasting plateau phase. The initial spikelet in the
 870 dendritic recording (blue arrow) produced no AP in soma, only producing rapid inflection with
 871 kink preceding the plateau phase (red arrowhead). Three backpropagating APs (“bAPs”)
 872 recorded in dendrite are marked by black arrows. **(D₄)** Blowup of *D₂* on a faster time scale, to
 873 show that initial spikelet (init. s.) precedes the somatic kink.

874

875

876 **Figure 2. Model outline.**

877 **(A₁)** Reconstructed pyramidal neuron. **(A₂)** Six basal dendrites, mostly used in data
 878 quantifications, are labeled by bracketed numbers. **(B₁)** The bAP amplitude, peak latency,
 879 response to TTX and 4-AP match the experimental measurements obtained in basal dendrites
 880 using voltage sensitive dyes. **(B_{2,3})** Quantification of the model results. **(C₁)**
 881 Glutamate stimulations activate the AMPA and NMDA receptors on dendritic spines (red) and
 882 the NMDA receptors on extrasynaptic surfaces, including the spine head, spine neck, and
 883 dendritic shaft (yellow). **(C₂) (upper)** The comparison of simulated traces with and without
 884 extrasynaptic NMDARs. Green = Somatic response evoked by synaptic NMDARs only. Black -
 885 Somatic response evoked by conjugate activation of both synaptic and extrasynaptic NMDARs.
 886 **(lower)** Same traces as in *C_{2-upper}*, but with the application of TTX to block all voltage-
 887 activated sodium channels. **(D₁)** Membrane potential change in basal dendrite during a plateau
 888 potential obtained in model cell shown in panel *A*. **(D₂)** Same as in *D₁*, except gNa_{bar}
 889 increased by 25%. **(D₃)** Membrane potential change in basal dendrite obtained by voltage-
 890 sensitive dye imaging (*from Fig.1D₃*). **(E₁)** Temporal organization of the glutamatergic inputs –
 891 uniform random within time window of 65 ms. Presynaptic axons (green) impinging on dendritic
 892 spines (brown). Ticks indicate APs in axon. **(E₂)** Temporal organization of inputs – alpha random
 893 function. **(E₃)** Dendritic plateau potential with alpha distribution of inputs. “r”, “s”, “p”, & “c” same
 894 definition as in Fig. 1.

895

896 **Figure 3. Varying levels of glutamatergic input in experiments and model.**

897 **(A)** Somatic whole cell recordings in a layer 5 pyramidal neuron. Glutamate microiontophoresis
898 was applied on one basal branch $\sim 90 \mu\text{m}$ away from the soma. The intensity of the glutamate
899 iontophoretic current was increased gradually in equal steps (subthreshold membrane
900 responses in blue). **(B)** Computational model of simultaneous somatic (soma) and dendritic
901 (dend) voltage recordings in response to glutamate application on one basal dendrite. Inset:
902 Blowup during arrival of glutamatergic inputs on dendrite. Distance from the soma = $110 \mu\text{m}$.
903 The NMDAR mechanism here is based on Destexhe et al., 1994 (our Model 1). The Model 2
904 data (employing the Major et al., 2008 NMDAR mechanism) is shown in **Suppl. Fig. S1B. (C₁₋₃)**
905 Numerical analysis of experimental data obtained in 3 real neurons (green) and 2 model
906 neurons (red).

907

908 **Figure 4. Voltage waveforms in soma and dendrite are strongly correlated.**

909 **(A)** Comparisons between dendritic and somatic voltage transients obtained in the model
910 simulations. $n = 70$ sweeps in 6 model basal branches. Insets depict the method used for
911 measuring plateau amplitude and duration in dendrite and soma. **(B)** Experimental
912 measurements in rat brain slices using simultaneous recordings of voltage waveforms in
913 dendrite (voltage imaging) and soma (whole-cell). $n = 19$ sweeps from 5 pyramidal neurons.
914 Linear fitting by ordinary least squares regression. The 95% confidence interval is marked by
915 gray shading. **(C)** Computer simulation. Dendritic voltage waveforms obtained simultaneously
916 from 13 locations along basal dendrite and cell body (soma). Inset: bAPs emerge from the
917 plateau phase. Horizontal line “p” marks the amplitude of the plateau phase. Two-sided arrow
918 indicates method used for measuring “AP amplitude above plateau”. **(D)** Dendritic plateau
919 amplitude as function of distance from cell body. **(E)** Amplitude of bAPs above plateau level, as
920 function of distance from cell body.

921

922 **Figure 5. The impact of the input location.**

923 **(A)** Major et al (2008) delivered glutamate microiontophoresis on the basal branches at various
924 distances from the cell body and they measured membrane potential changes in the soma. The
925 amplitude of the plateau potential in the soma is plotted against the distance from the cell body
926 – recreated from Major et al., 2008. **(B)** Computer simulation of the experiment described in A.
927 Results obtained with our “Model 2” (Major et al., 2008) are displayed – simultaneous voltage
928 waveforms in dendrite (at input location) and soma. The precise locations of the glutamate
929 inputs on basal dendrite are annotated above each dendritic trace and expressed as a distance
930 from the cell body in micrometers. Blue traces: sub-threshold depolarizations; Red: first
931 suprathreshold, local regenerative potentials”. Vertical dashed lines mark 4 different plateau
932 durations obtained by stimulating basal dendrite at 4 locations – fixed glutamate input intensity.
933 The results of Model 1 (utilizing the Destexhe et al. (1994) membrane mechanism for NMDAR
934 channels) are shown in **Suppl. Fig. S2B. (C)** Same experimental outline as in A, except
935 experiment was performed in simulations. Data quantifications of these simulation experiments
936 are plotted. **(D)** In model neuron, the local amplitude of the dendritic plateau, measured at each
937 glutamate stimulation site, is plotted versus the distance of that stimulation site from the cell
938 body. **(E)** The local duration (half-width) of the dendritic plateau at the glutamate stimulation site
939 is plotted as a function of distance from the cell body. Blue data points depict local dendritic R_{in} .
940 **(F)** Distal glutamatergic inputs (distal pool) generate dendritic plateau potentials, which fail to
941 trigger somatic APs.

942

943 **Figure 6. The cell body TAU and R_{in} are affected by dendritic plateau potentials.**

944 **(A₁)** Basilar dendritic tree of the model cell. Dendritic segment (66–132 μm) is receiving
 945 glutamate inputs. Computer simulation of dendritic plateau potential measured at soma. An
 946 identical depolarizing current pulse was injected into the soma before and during the plateau
 947 potential (current injection). **(A₂)** A1 responses superimposed before (blue) and during plateau
 948 (red); amplitude during plateau (dVm-d) is smaller than before plateau (dVm-b). **(A₃)** A1
 949 responses superimposed and normalized to TAU-b. **(B₁)** Whole-cell recordings in TTX with 5 ms
 950 glutamate pulse at dendritic location 90 μm from soma. Test pulses for testing R_{in} and TAU were
 951 attained by somatic current injection, “before” and “during” plateau, as in the model (5 sweeps
 952 average for each trace). In trial “ii” test pulses were omitted to reveal the waveform of the
 953 underlying plateau. Subtraction “i” minus “ii” at bottom shows responses to test pulses, free from
 954 plateau induced wobbles in the baseline. **(B₂)** Comparisons of evoked responses before and
 955 during plateau. 6 cells are displayed to show cell-to-cell variability. Scaling was used to allow
 956 comparison of time constants. **(C)** Raw values. R_{in} and TAU both decrease during plateau
 957 potential (mean \pm standard deviation; $n=294$ trials in 18 dendrites of 8 neurons $p<0.0001$ ***). **(D)**
 958 Relative values (During/Before). Comparison of model ($n=16$ trials obtained by stimulation of 16
 959 dendrites, in 2 model neurons) with experiment from **C** using relative values (* indicates $p<0.01$).
 960

961 **Figure 7. Position of glutamatergic input on basal dendrite determines the magnitude of
 962 the plateau-induced changes in the somatic TAU.**

963 **(A₁)** Experimental outline in model and experiment: in the presence of TTX, glutamate input of
 964 fixed intensity delivered at two different locations along a basal dendrite. **(A₂)** In model, plateau
 965 amplitude at cell body has greater amplitude and faster rise when triggered from proximal
 966 location (red) compared to distal location (green; inset: comparison of charging curves). Vm-t
 967 marks the membrane voltage at which the test pulse “during plateau” begins. **(A₃)** Experiment
 968 verifies model prediction. **(B₁)** Schematic of input location shifting on a basal branch with input
 969 intensity fixed. Multiple dendrites are examined. **(B₂)** TAU increased with increased distance of
 970 the glutamate input site, for all 6 dendrites tested. **(C₁)** In real neurons, multiple traces from one
 971 glutamate stimulation site were recorded with glutamatergic stimulation ON (brown) or OFF
 972 (black). A blow up of membrane responses before and during plateau; multiple repetitions. **(C₂)**
 973 Comparison of TAU between distally and proximally delivered, fixed-intensity-glutamatergic
 974 input on the same dendrite (distal site 40 – 110 μm away from proximal). Error bars are
 975 standard deviations; (***) $p<0.01$; (*) $p<0.05$; (n.s.) “not significant”).

976

977 **Figure 8. Dendritic plateau potential alteration of somatic TAU and R_{in} is not fully**
978 **explained by effect on V_m .** Experiments in TTX (**A1**) V_m with current injection (“voltage setting
979 pulse” Inset). Additional current pulse (Inset: “test pulse”) was used to measure R_{in} and TAU.
980 “ V_m-t ” is value at start of test pulse. (**A2**) Soma R_{in} normalized by R_{in} at resting membrane
981 potential (RMP), plotted against the V_m-t . (**A3**) Soma TAU normalized by TAU at RMP, plotted
982 against the V_m-t . (**B1**) Glutamate evoked plateau potential with test pulse. (**B2**) Soma R_{in}
983 normalized by R_{in} at RMP, plotted against the V_m-t . (**B3**) Soma TAU normalized by TAU at RMP,
984 plotted against the V_m-t . Second order polynomial fits without intercept. The 2 conditions (A and
985 B) differ markedly from -50 to -30 mV (light gray).

986

987 **Figure 9. A dendritic plateau potential occurring in one basal dendrite influences the**
988 **somatic integration of EPSPs arriving on other dendrites.** (**A**) Basilar dendritic tree of the
989 model cell. Plateau was produced in 1 branch (“plateau”). Individual EPSPs were received at 5
990 locations marked by EPSP-arrows. (**B**) Spikeless plateau is paired with EPSPs. Top trace
991 (*EPSP only*): An identical barrage of EPSPs was delivered twice, causing 2 EPSP events.
992 Middle trace (*Plateau only*): Glutamatergic stimulation of one basal dendrite produced a somatic
993 plateau subthreshold for axonal AP initiation (spikeless plateau). Bottom trace: pairing of the
994 stimulation paradigms used in the top and middle trace. (**C**) “Spiking plateau” (plateau
995 accompanied by somatic APs) is paired with EPSPs. Intercalated spike is marked by black dot
996 and label “*EPSP-evoked AP*”. (**D**) The same modeling experiment as in C, except an even
997 stronger plateau, with more accompanying APs, was used for pairing. (**E**) Upper trace: Strong
998 EPSP barrage, capable of triggering AP in the absence of plateau. Lower trace: Pairing of the
999 EPSP barrage with a spikeless plateau. Full vertical line marks the onset of the EPSP barrage
1000 (EPSPs). Dashed vertical line marks the peak of the EPSP-evoked AP (AP). Gray box marks a
1001 time delay between the onset of EPSP barrage and the AP peak (dT). “ V_m-t ”, voltage just prior
1002 to arrival of EPSPs. (**F**) dT is reduced during plateau. (**G**) Voltage controlled by current injection
1003 -- compare with E. A more depolarized V_m-t produces AP sooner. (**H**) The voltage dependence
1004 of the dT is similar with and without dendritic plateau potential.

1005

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1007

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Table 1			
Channels	Conductance	Units	Ref
Voltage-gated sodium channels		pS/ μm^2	(Acker and Antic, 2009) (Mainen et al., 1996)
- Soma	900		
- Basal dendrite	150 - distance*0.5		
- Apical dendrite	375		
- Axon	150		
- AIS	5,000		
A-type potassium channels		pS/ μm^2	(Migliore et al., 1999; Acker and Antic, 2009)
- Soma	150		
- Basal dendrite	Distal $(150 + 0.7 \cdot \text{distance}) \cdot (1/300 \cdot \text{distance})$ Proximal $(150 + 0.7 \cdot \text{distance}) \cdot (1 - 1/300 \cdot \text{distance})$		
- Apical dendrite	Distal $300 \cdot (1/300 \cdot \text{distance})$ Proximal $300 \cdot (1 - 1/300 \cdot \text{distance})$		
High voltage activated calcium channels		pS/ μm^2	(Mainen et al., 1996; Kampa and Stuart, 2006; Acker and Antic, 2009)
- Soma	2		
- Basal dendrite	Distal 0.4 Proximal 2		
- Apical	Distal 0.4		

dendrite	Proximal 2		
Low voltage activated calcium channels			(Mainen et al., 1996; Kampa and Stuart, 2006; Acker and Antic, 2009)
- Soma	2	pS/ μm^2	
- Basal dendrite	Distal 1.6 Proximal 2		
- Apical dendrite	Distal 1.6 Proximal 2		
HCN channels			
- Soma	0.0001	S/cm ²	
- Basal dendrite	0.0001		
- Apical dendrite	$0.0002 * (-0.8696 + 2.0870 * \exp(\text{distance}/323))$		
Calcium activated potassium channels	2.68e-4		S/cm ²
Kv channel			(Mainen et al., 1996; Acker and Antic, 2009)
- Soma	40	pS/ μm^2	
- Basal dendrite	40		
- Apical dendrite	40		
- Axon	100		

Table 1. Model parameters.



















